

FACTS

WHAT DOES CAPITAL ASSET ADVISORY SERVICES, LLC (“CAAS”) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number or Tax Identification Number
- Name, Address, Email, Phone Number, Date of Birth
- Brokerage Info, including Purchases, Sales, Account Balances, and Inquiries

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share their customer’s personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer’s personal information; the reason CAAS chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information:	Does CAAS Share?	Can you limit this sharing?
For our everyday business purposes - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes - To offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A
For our affiliates’ everyday business purposes - Information about your transactions & experiences	Yes	No
For our affiliates’ everyday business purposes - Information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?

Call us at 517-908-4700 or go to <http://www.wealthadvisory.group>

Who we are

Who is providing this notice?

Capital Asset Advisory Services, LLC (“CAAS”), an SEC Registered Investment Advisor

What we do

How does CAAS protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does CAAS collect my personal information?

We collect your personal information, for example, when you:

- Submit Applications or Forms
- Provide communications such as e-mails, phone calls, etc.
- Open Brokerage Accounts with CAAS

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies:

- Wealth Advisory Group
- Capital Asset Insurance Services
- Wealth Advisory Group Insurance Services
- CG Financial

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other important information

Our policy about obtaining and disclosing information may change from time to time. We will provide you notice of any material change to this policy before we implement change.