



## Non-Delegated Correspondent (NDC) Only Wholesale Broker Approval Required Documents

The following list includes all of the documents and information required to become approved as a Non-Delegated Correspondent (NDC) Partner with Union Home Mortgage Corp. Please upload all of these items into the appropriate folders as listed in Comerence. UHM accepts E-Signed documents.

- \_\_\_ TPO Origination Agreement
- \_\_\_ Financial Statements for Last Full Fiscal Year (*audited not required/business tax return with all schedules acceptable or YTD Profit & Loss and Balance Sheet*)
- \_\_\_ TPO Certification and Authorization (*for principals holding 25% interest or more in company*)
- \_\_\_ TPO Compensation Plan
- \_\_\_ TPO Manual and Compliance Attestations
- \_\_\_ IRS Form W-9
- \_\_\_ NDC Compliance Certification Form
- \_\_\_ NDC Holdback Addendum to UHM TPO Origination Agreement
- \_\_\_ NDC Service Declaration Form
- \_\_\_ Third Party Processor Notification, Addendum and Certification Forms (*if not utilizing 3<sup>rd</sup> Party Processing Company only Third Party Processor Notification Form is required*)
- \_\_\_ Warehouse Verification Form
- \_\_\_ Corporate Resolution for Authorized Signors

If you have any questions, please contact your Account Executive.