

# JEREMIAH D. FORRISTER

This brochure provides supplemental information about Jeremiah D. Forrister. This supplements SMB Financial Services, Inc.'s ADV Part 2 A brochure, which should have also been provided to you. SMB Financial Services also does business as Retirement Income Advisors. Please contact us at (503) 387-3222 or [info@smb.financial](mailto:info@smb.financial) if you need the brochure or if you have any questions.

Additional information about SMB Financial Services is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (select "investment adviser firm" and type in our firm name). Results will provide you both Part 1 and 2 of our Form ADV.

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**ITEM 1 – COVER PAGE BROCHURE ADV PART 2 B**

MARCH 28, 2018

INDIVIDUAL CRD# 6613019  
SMB FINANCIAL SERVICES, INC.  
11535 SW 67TH AVE, PORTLAND, OREGON 97223  
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**ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Jeremiah D. Forrister**

Year Born: 1991

**Educational Background:**

B.S., Student and Family Ministries - Corban University (2013)

Minor, Biblical Studies - Corban University (2013)

**Business Background:**

07/2017 - Present: *Investment Advisor Representative*, SMB Financial Services, INC

06/2013 - 07/2017: *Supervisor*, Costco Wholesale

09/2011 - 06/2013: Unemployed during study at Corban University

01/2005 - 09/2011: *Dental Technician Assistant*, Forrister Dental Laboratories, LLC

**Professional Qualifications:**

Series 65, Uniform Investment Adviser Law Examination (2016)

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**ITEM 3 - DISCIPLINARY INFORMATION**

Jeremiah D. Forrister has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

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**ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business of Jeremiah D. Forrister is that of an investment advisor representative and provider of financial planning services.

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**ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with SMB Financial Services, Inc. and any disclosures made in Items 2 and 4 above, Jeremiah D. Forrister receives no additional compensation related to outside business activities.

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**ITEM 6 - SUPERVISION**

Jeremiah D. Forrister not a supervising authority at SMB Financial Services, Inc. but does work closely with and is monitored by the supervising members of the firm. The supervising members of SMB Financial Services, Inc. are Bruce E. Porter and Timothy L. Porter. All supervising members will remain aware of and keep us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. SMB Financial Services, Inc. maintains a written compliance manual that is reviewed with employees when they are hired as well as annually. As well, our employees receive written evaluations on a regular basis.

All of our supervising persons are located at 11535 SW 67th Ave, Portland, Oregon 97223 and can be reached by calling (503) 387-3222.

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**ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

State securities authorities require this disclosure and it is provided to you for evaluating this investment advisor representative's suitability.

Other than any disclosures made in Item 3 above, Jeremiah D. Forrister has not been found liable in any additional material arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Jeremiah D. Forrister has never been the subject of a bankruptcy petition.