

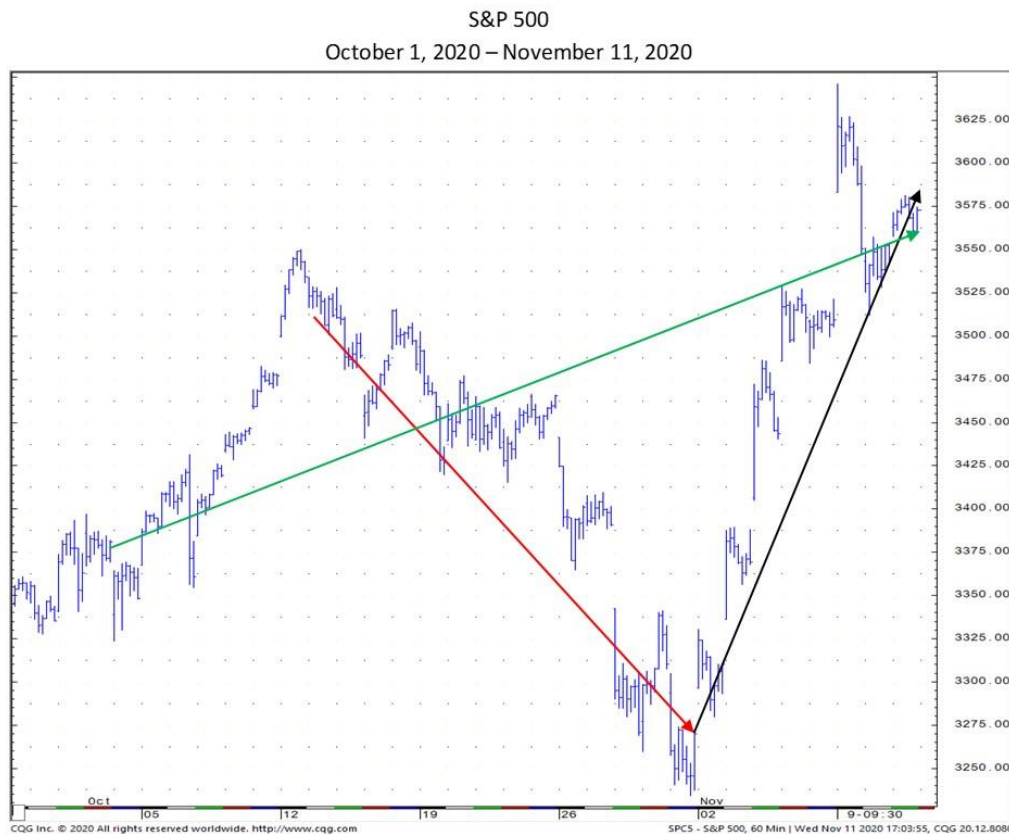
SPECIAL HANDOUT RECOGNIZING STOCK MARKET'S 2ND HIGHEST CLOSING PRICE IN HISTORY

The S&P 500 just experienced its best election week return in 90+ years and on November 11, 2020 the stock market settled at its second highest closing price in history.

The stock market continues to behave like it is the only game in town and it is a volatile game. RISK HAPPENS FAST.

The S&P 500 chart below reminds us how important it is for stock market investors to stay invested (**GREEN** line) AND stay protected (**RED** line). Stock market declines represent an opportunity to profit.

We take great pride in profiting and protecting you from stock market declines. We also take great pride in standing aside and not giving the profits back during market rallies.



10/1/20 – 11/11/20	
S&P 500:	+6.2%
3D Defender (short equity):	+3.0%
Gold:	-1.8%
US Bonds:	+0.4%

10/12/20 – 10/30/20	
S&P 500:	-6.0%
3D Defender (short equity):	+3.6%
Gold:	-2.4%
US Bonds:	-0.3%

11/2/20 – 11/11/20	
S&P 500:	+9.3%
3D Defender (short equity):	+0.4%
Gold:	-1.0%
US Bonds:	+0.3%

(3D Defender is 25% funded, gross of fees, and does not include interest income.)

Important reminders:

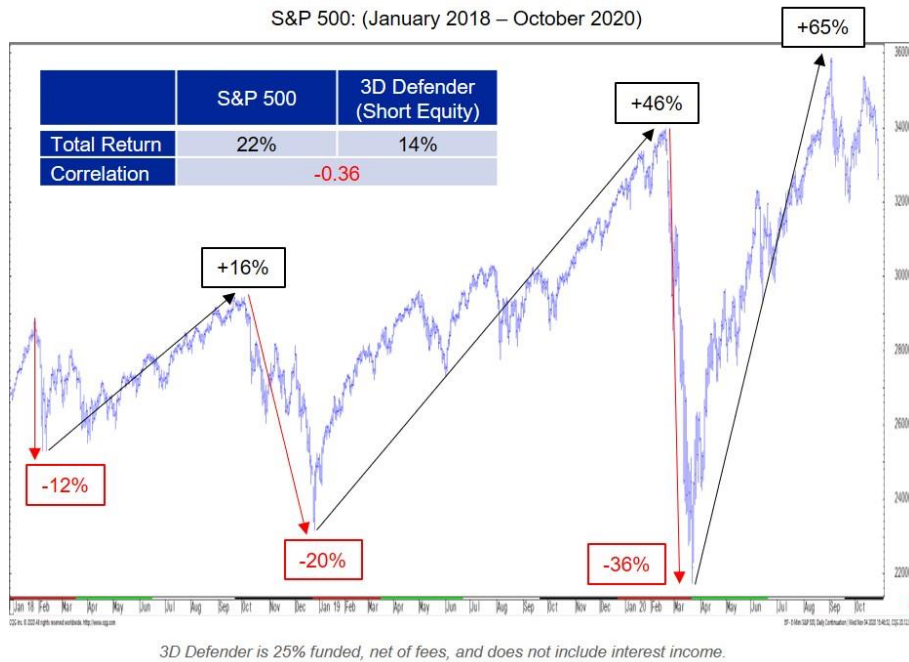
- Managing stock market risk everyday matters.
- Buying other asset classes is NOT the best way to profit from a falling stock market.
- The best way to protect your equity portfolio and profit from a falling stock market is to short it.

**PAST PERFORMANCE IS NOT NECESSARILY INDICATIVE OF FUTURE RESULTS.
THERE IS NO ASSURANCE OUR PROGRAMS WILL ACHIEVE THEIR OBJECTIVES OR AVOID LOSSES.**

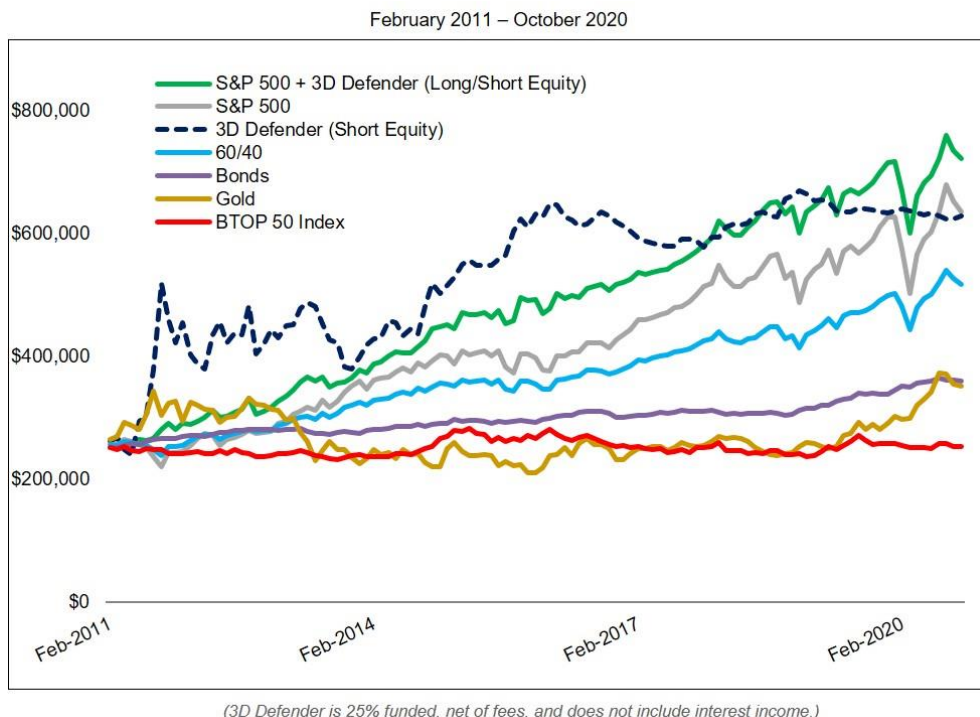
3D Defender Program (Daily Dynamic Defensive S&P 500)

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The stock market entered a new volatility regime in 2018. The volatility and swings in the S&P 500 chart have never been seen in the history of the stock market. Stock market declines (red arrows) represent risk and an opportunity to profit. Managing that risk every day and profiting from S&P 500 declines is 3D Capital's expertise.



The following chart compares 3D Defender to other diversifying investments like bonds, gold, and managed futures (BTOP 50 Index). We included the popular 60/40 portfolio for comparison and included an example of how we can combine 3D Defender with the S&P 500 to create a Long/Short Equity program (**GREEN** line) if that is of interest.



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Eric Dugan founded 3D Capital to make the stock market a better investment. Stock market declines are the problem and 3D Defender (Short Equity) is our solution.

Please contact us if you have any questions.

Sincerely,

Tom



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