

Stonnington Group

Business Continuity Plan Disclosure Statement

Stonnington Group, LLC has developed a Business Continuity Plan describing its response to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions is unpredictable, we are required to be flexible in responding to the actual events as they occur. It is notable that advances in technology have significantly improved our ability to restore operations in a timely manner, and the Covid-19 pandemic has enabled us to real-time test our procedures.

Keeping this in mind, we are providing you with this information on our business continuity plan.

If after a significant business disruption you cannot contact us as you usually do at: 626.469.8166 or

626.469.8168

nick@stonningtongroup.com

You should call our alternative number or visit our website:

310.614.1339

<http://www.stonningtongroup.com>

If you are unable to reach us through either of those means, you should directly contact the custodian(s) of your account(s). Please see custodian contact information below:

Fidelity Wealth Central
800.972.2155 or 800.343.3548
<http://www.fidelity.com/>

Charles Schwab
866.855.9102 or 800.435.4000
<http://www.schwab.com> or www.schwaballiance.com (investor portal)

TD Ameritrade Institutional
800.400.6288
<https://www.advisorclient.com>

Each custodian also has its own Business Continuity Plan to address the kind of services that will be available to our customers at the time of a Significant Business Disruption ("SBD"). In the event of an SBD, our custodians will provide services such as access to funds and securities, order entry, and cash and security transfers.

We plan to quickly recover and resume business operations, and respond by safeguarding our employees and property, making financial and operational assessments, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery, all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business. Each custodian backs up its important records in geographically separate areas. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, our custodians maintain as their objective the ability to process transactions and payments promptly. Your orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as our firm only, the single building housing our firm, the business district where our firm is located, the city where we are located, or the entire region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or the building housing our firm, we will transfer our operations to a local site or employees' individual home locations when needed, and expect to recover and resume business operations within three to four hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area (employees' homes, if appropriate and available), and endeavor to resume business within 1-2 days. In either situation, we plan to continue in business, and notify you through our website www.stonningtongroup.com or our customer emergency number (310) 614-1339 regarding how to contact us.

If the significant business disruption is so severe that it prevents us from remaining in business, we assure our customers prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at:

T: 626.469.8166 or 626.469.8171

E: chris@stonningtongroup.com and/or info@stonningtongroup.com