

Stonnington Group

Privacy Statement

Stonnington Group is committed to safeguarding the personal information of our clients. We hold all such information provided to us in the strictest of confidence.

How We Collect Personal Information:

We typically collect personal information (both public and non-public) about you from sources such as (examples include):

- Account information forms and applications
- Transaction activity in your account
- Information services and consumer reporting agencies
- Interactions with Stonnington Group staff and/or principal(s) of the firm
- Other sources with your consent (e.g. other financial institutions you may be transferring accounts to us from, documents from you or other professionals you engage with , i.e. accountants, attorneys).

How We Use Your Personal Information:

- To provide you with our services and investment products
- To share with third party service providers (e.g. clearing firm, issuers or sponsors of investment products from insurance companies, mutual fund companies) to provide you with services and investment products. For example, we may provide a limited amount of information with our clearing agents in order to execute securities transactions on your behalf.
- To provide to Federal and state government agencies, regulatory agencies and law enforcement officials as required by law.
- To share with other organizations or individuals not described above upon your consent and authorization to do so.

How We Protect Your Personal Information:

We limit employee and agent access to information to only those who need to know in order to provide services and products to you, and only to nonaffiliated parties as permitted by law. We maintain physical, electronic, and procedural safeguards to protect your information whether contained in paper records and/or electronically in our systems.

Opt Out Policy. You may opt-out of the disclosure of non-public personal information to a non-affiliated third party by giving us notice in writing or via e-mail.

If our relationship ends, we will continue to handle your information as described in this statement.

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