

PREVENTING FRAUD

Financial services companies are targets for fraudsters because their stock in trade is money!

We have codified industry best practice for pro-actively minimizing the risk of fraud in our Fraud Prevention solution, covering:

Governance

Recommended best practice for Fraud Governance.

Management Information

The fraud data reported to senior management.

Structure

How a firm organises and resources their prevention of fraud.

Risk Assessment

Undertake a business wide analysis of the firm's exposure to fraud.

Policies & Procedures

Maintain up to date policies & procedures based on the Fraud Risk Assessment.



FLEXIBLE & LOW COST

Flexible

GRC-Maestro uses Templates so each client can run their prevention of fraud checks to suit their requirements:

- **Standard** - Use pre-built Templates covering laws, regulations and industry best practice
- **Copy & Edit** - Use existing Templates and edit for your own requirements
- **Customise** - Build new Templates based on your own requirements

Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

[For More Details:
Contact Us](#)

KEY FEATURES

Download pre-set Maestro-Templates relating to the prevention of fraud across your firm, for a business line or regulatory jurisdiction.



Rule Checking: Regulatory/Internal
Run rules on question answers to flag potential breaches/internal controls.



Incident/Breach Management
Assess potential breaches and manage them to resolution.



Process Management
Use dynamic questions/responses to ensure processes are followed.















Reports/Business Intelligence
Maintain compliance records and produce on-line/Excel/PDF reports.

STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

GRC-Maestro supports the prevention of fraud across for your firm.

The platform has powerful compliance monitoring and breach identification/management. Customised checks, controls and record keeping are built into your Maestro-Templates.

 AUSTRALIA Australian Security & Investment Commission Australian Prudential Regulation Authority	 CYPRUS Cyprus Securities and Exchange Commission	 IRELAND Central Bank of Ireland	 LUXEMBOURG Commission de Surveillance du Secteur Financier	 MALAYSIA Securities Commission Malaysia	 MALTA Malta Financial Services Authority
 SINGAPORE Monetary Authority of Singapore	 HONG KONG Securities and Futures Commission	 GUERNSEY Guernsey Financial Services Commission	 JERSEY Jersey Financial Services Commission	 UNITED KINGDOM Financial Conduct Authority	 USA Securities & Exchange Commission Financial Industry Regulatory Authority

ASK, RESPOND, CHECK/RESOLVE AND RECORD

ASK

Information required to assess compliance with regulations, internal policies, legal requirements or record keeping.

RESPOND

Respondent answers questions and attaches documents as required (pre-submission validation).

REVIEW

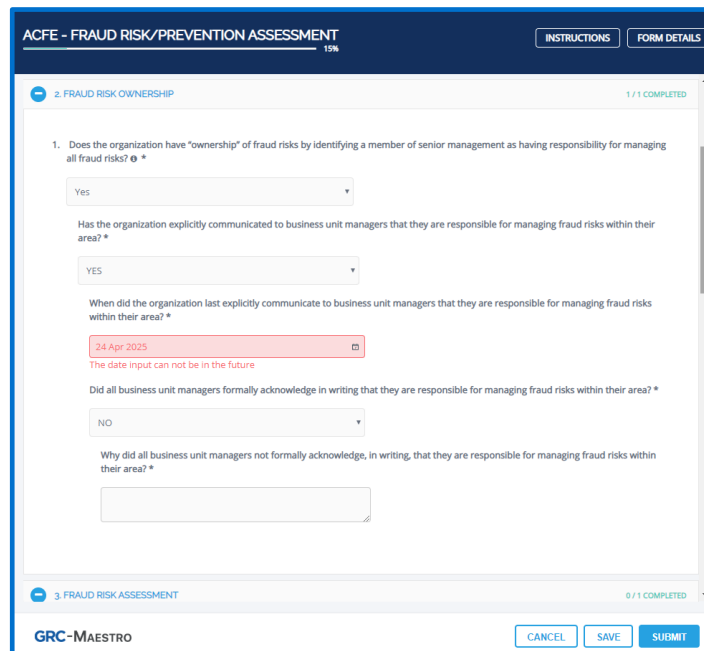
Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form).

ASSESS

Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required.

REPORT

Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc..



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