

# CORPORATE GOVERNANCE

Regulators and investors are demanding more from their Boards.

Boards are expected to take on more responsibility and personal liability across multiple areas.

Compliance isn't assumed, it has to be proactively proved.

## Listing Requirements/Best Practices

Ensure the company has formally assessed listing requirements/best practices, including:

- Board leadership,
- Division of responsibilities
- Board composition, succession and evaluation
- Audit, risk and internal controls
- Remuneration

## AML/Fraud/Cybersecurity

Give the Board access to the information they need to have high level oversight of areas they have a legal responsibility.

## Climate Change

Enable firms to implement and report on their Climate Change strategy, whether legally required or commercially driven.



## FLEXIBLE & LOW COST

### Flexible

GRC-Maestro uses Templates so each client can run their own data governance checks to suit their requirements:

- **Standard** - Use pre-built Templates covering laws, regulations and industry best practice
- **Copy & Edit** - Use existing Templates and edit for your own requirements
- **Customise** - Build new Templates based on your own requirements

### Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

For More Details:  
Contact Us

## KEY FEATURES

Download pre-set Maestro-Templates relating to corporate governance across your firm, for a business line or regulatory jurisdiction.



**Rule Checking: Regulatory/Internal**  
Run rules on question answers to flag potential breaches/internal controls.



**Incident/Breach Management**  
Assess potential breaches and manage them to resolution.



**Process Management**  
Use dynamic questions/responses to ensure processes are followed.















**Reports/Business Intelligence**  
Maintain compliance records and produce on-line/Excel/PDF reports.

## STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

GRC-Maestro supports your Corporate Governance across your Group.

The platform has powerful compliance monitoring and breach identification/management. Customised checks, controls and record keeping are built into your Maestro-Templates.

 <b>AUSTRALIA</b> Australian Security & Investment Commission Australian Prudential Regulation Authority	 <b>CYPRUS</b> Cyprus Securities and Exchange Commission	 <b>IRELAND</b> Central Bank of Ireland	 <b>LUXEMBOURG</b> Commission de Surveillance du Secteur Financier	 <b>MALAYSIA</b> Securities Commission Malaysia	 <b>MALTA</b> Malta Financial Services Authority
 <b>SINGAPORE</b> Monetary Authority of Singapore	 <b>HONG KONG</b> Securities and Futures Commission	 <b>GUERNSEY</b> Guernsey Financial Services Commission	 <b>JERSEY</b> Jersey Financial Services Commission	 <b>UNITED KINGDOM</b> Financial Conduct Authority	 <b>USA</b> Securities & Exchange Commission Financial Industry Regulatory Authority

## ASK, RESPOND, CHECK/RESOLVE AND RECORD

**ASK**

Information required to assess compliance with regulations, internal policies, legal requirements, or record keeping.

**RESPOND**

Respondent answers questions and attaches documents as required (pre-submission validation).

**REVIEW**

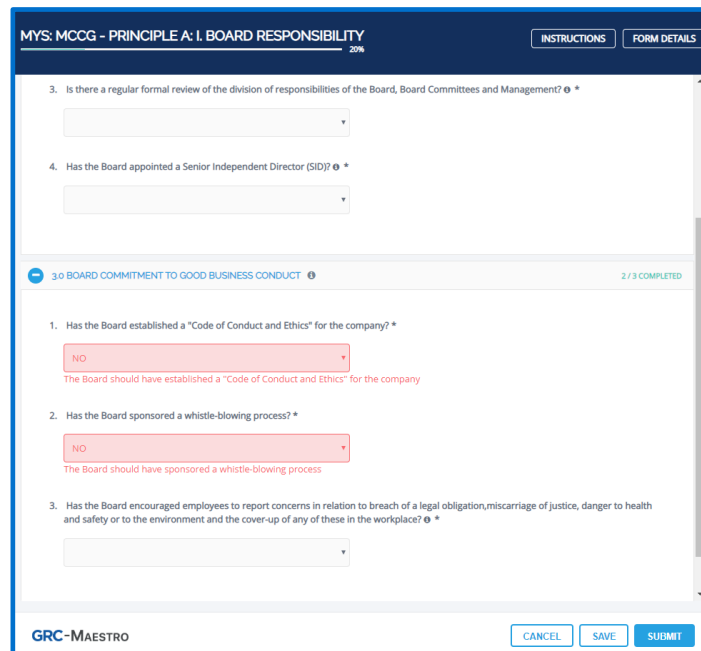
Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form).

**ASSESS**

Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required.

**REPORT**

Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc..



The screenshot shows a web-based form titled "MYS: MCCG - PRINCIPLE A: I. BOARD RESPONSIBILITY" with a progress indicator of 20%. The form contains several questions with dropdown menus for answers. Questions 3 and 4 are partially visible, and questions 1, 2, and 3 are fully visible under the section "3.0 BOARD COMMITMENT TO GOOD BUSINESS CONDUCT".

- Question 3: "Is there a regular formal review of the division of responsibilities of the Board, Board Committees and Management?" (dropdown menu)
- Question 4: "Has the Board appointed a Senior Independent Director (SID)?" (dropdown menu)
- Section: "3.0 BOARD COMMITMENT TO GOOD BUSINESS CONDUCT" (2/3 COMPLETED)
- Question 1: "Has the Board established a 'Code of Conduct and Ethics' for the company?" (dropdown menu showing "NO", with a red error message: "The Board should have established a 'Code of Conduct and Ethics' for the company")
- Question 2: "Has the Board sponsored a whistle-blowing process?" (dropdown menu showing "NO", with a red error message: "The Board should have sponsored a whistle-blowing process")
- Question 3: "Has the Board encouraged employees to report concerns in relation to breach of a legal obligation, miscarriage of justice, danger to health and safety or to the environment and the cover-up of any of these in the workplace?" (dropdown menu)

At the bottom of the form, there are buttons for "GRC-MAESTRO", "CANCEL", "SAVE", and "SUBMIT".

## CONTACTS

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