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Juana Garcia, LL.M.

Regulatory Consultant

Financial Services; Global Regulations; Global Regulations; Banking Law; 8of9 products; Impact Investment
Greater New York City Area

Executive Summary

- Business analyst experienced in regulatory change management within complex financial systems.
- Well versed in project management, as well as data integration and reporting.

Selected Key Projects

- Project Manager responsible of improving the Issues and Actions / IHC workstream for a global financial institution.
- Living Wills Project
 - Project Manager of a team focused on analyzing and synthesized Living Wills regulations across the world, mapping requirements, relevant authorities, tools and implementation status.
 - Coordinated regional work streams globally over a quarter timeframe to expedite production of collateral for new business opportunities.

Skills

- Microsoft Office, including Excel pivot tables, v-lookup
- Project Management
- PowerPoint Project Updates, Steering Committee Decks
- Impact Investment (T9E)

Prior Employment:

- Swiss Re, New York, NY June – August 2015
Compliance Consultant
 - Identification, management and control of Compliance risks. Prepared and submitted regulatory reports to Head of Compliance / Americas.
 - Analysis of policies (AML, anti-bribery and corruption, market conduct, KYC, international trade controls, data protection, etc.).
 - Implementation of internal strategies to achieve legal, regulatory and corporate governance requirements.
- The Law Offices of John Miscione, New York, NY Summer 2014
Summer Associate during LLM
 - Analyzed the requirements of the different IRS offshore Voluntary Disclosure Programs.
 - Prepared a memorandum on the exposure to liability in the process of coming into compliance with US bank-account disclosure regulations.



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- BDO, Barcelona, Spain March 2012- April 2013
Corporate Lawyer
 - Assisted clients in the purchase and sale of companies. Provided advice on contracts, particularly in relation to Mergers and Acquisitions. Supervised signings and closings for large transactions.
 - Performed due diligence review and drafted due diligence reports.

- Roca Junyent, Barcelona, Spain September 2008- March 2012
Corporate / Banking Lawyer
 - Assisted clients on matters related to corporate, capital markets and banking law.
 - Conducted due diligence review and drafted due diligence reports for financial institutions.
 - Drafted financing agreements, supervised signings and closings for large financing transactions, including coordinating all related documentation.

Education:

- 8of9 Regulatory Executive Education Program
 - AML/KYC, Basel, BRRD, Capital Markets, Capital and Collateral Management, CCAR, EMIR, FATCA/GATCA, ISDA, MiFID, Project Management and Planning, Recovery and Resolution Planning, SPV/SPE, TMPG Margining/FINRA 4210.

- LL.M., Fordham School of Law (2015)
 - Banking, Corporate and Finance Law.
 - Magna Cum Laude.

- LL.M., Universidad de Barcelona (2010)
 - International Economic Law and Policy.

- Postgraduate, Universitat Oberta de Catalunya (2009)
 - Business Law.

- Masters Degree, ESADE Business School (2008)
 - International Business Law.

- Bachelor Degree in Law, Pompeu Fabra (2006)

Contact Information

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