

**HIPAA COW Document Resources Available on hipacow.org**

| <b>Document Name</b>   | <b>Forms or other Info Included within this Document</b>                         | <b>Networking Group</b> | <b>Category</b>                            | <b>Page on website where document is located</b> | <b>Last Revision Date</b> | <b>Security Risk Example Policy Name (from Toolkit)</b> |
|--|--|-------------------------|--|--|---------------------------|---|
| Accounting of Disclosures  | Form: Request for an Accounting of Disclosures<br>Form: Disclosures Tracking Log | Privacy                 | Accounting of Disclosures                  | Resources>Documents>Privacy & Security           | 8/28/13                   |   |
| Amendment Form   | Form: Request for Amendment/Correction of Protected health Information           | Privacy                 | Amendment Requests                         | Resources>Documents>Privacy & Security           | 2/1/13                    |   |
| Amendment Policy   | ~  | Privacy                 | Amendment Requests                         | Resources>Documents>Privacy & Security           | 4/1/13                    |   |
| Analysis of 2016 HIPAA Privacy Rule Modifications to Strengthen the Firearm Backgroup Check System | Frequently Asked Questions for Healthcare Providers                              | Privacy                 | Law Enforcement                            | Resources>Documents>Privacy & Security           | 2/8/16                    |   |
| Audio, Video and Photo Consent   | Form: Patient Consent for Photo or Video   | Privacy                 | ROI - Authorization Form Audio-Video-Photo | Resources>Documents>Privacy & Security           | 10/1/17                   |   |
| Authorization Elements Grid  | ~  | Privacy                 | Authorization Form Requirements            | Resources>Documents>Privacy & Security           | 4/4/18                    |   |
| BA Agreement Omnibus Rule  | ~  | Privacy                 | Business Associate Agreement               | Resources>Documents>Privacy & Security           | 8/28/13                   |   |
| BA Training PPT  | ~  | Privacy                 | Business Associate Agreement               | Resources>Documents>Privacy & Security           | None listed               |   |
| Cameras by Law Enforcement Officials   |  | Privacy                 | Law Enforcement                            | Resources>Documents>Privacy & Security           | 5/26/16                   |   |
| Charging for Copies and Summaries  | ~  | Privacy                 | ROI - Fees                                 | Resources>Documents>Privacy & Security           | 4/17/15                   |   |

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| Communication of PHI Policy  | Sample Message for Answering Machines, Form: Sample Fax Cover Sheet, Guidelines for Emailing PHI, Form: Sample Patient Email Acceptance | Privacy                 | ROI - Methods & Safeguarding         | Resources>Documents>Privacy & Security           | 6/30/16                   |   |
| Consent for Disclosure of Patient PHI for Treatment, Payment and Healthcare Operations (TPO) | ~   | Privacy                 | ROI - Consent                        | Resources>Documents>Privacy & Security           | 10/9/18                   |   |
| De-ID vs. LDS  | ~   | Privacy                 | De-identification & Limited Data Set | Resources>Documents>Privacy & Security           | 7/18/18                   |   |
| Designated Record Set Doc  | ~   | Privacy                 | Designated Record Set                | Resources>Documents>Privacy & Security           | 9/9/05                    |   |
| Disclosing PHI for Treatment Purposes - Position Statement                                   | ~   | Privacy                 | ROI - Treatment                      | Resources>Documents>Privacy & Security           | 9/3/19                    |   |
| Disclosure of PHI to the Media   | ~   | Privacy                 | ROI - Media                          | Resources>Documents>Privacy & Security           | 4/1/18                    |   |
| Drug Seeking Behavior Whitepaper   | ~   | Privacy                 | ROI - Drug Seeking                   | Resources>Documents>Privacy & Security           | 9/10/19                   |   |
| Fundraising Policy Doc   | ~   | Privacy                 | ROI - Fundraising                    | Resources>Documents>Privacy & Security           | 4/6/14                    |   |
| HIPAA & Wisconsin Law Enforcement  | Letter: From DHS RE: Reporting of Animal Bites  | Privacy                 | ROI - Law Enforcement                | Resources>Documents>Privacy & Security           | 12/17/15                  |   |
| HIPAA Implementation & Oversight   | ~   | Privacy                 | HIPAA Oversight                      | Resources>Documents>Privacy & Security           | 8/30/19                   |   |
| Identity Verification  | ~   | Privacy                 | ROI - Identity Verification          | Resources>Documents>Privacy & Security           | 10/30/17                  |   |

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| Individual Right to Request Alternative Communications   | Form: Sample form for Individual's Right to Request Alternate Means or Locations to Process PHI  | Privacy                 |  | Resources>Documents>Privacy & Security           | 8/7/17                    |   |
| Individual Right to Request Restrictions on How PHI is Used/Disclosed for Treatment, Payment and Healthcare Operations | Forms: Sample request for Restrictions on Use/Disclosure of PHI, Sample Letter of Approval for Request for Record Restrictions, Sample Letter or Denial of Request for Record Restrictions | Privacy                 | Restriction Requests                       | Resources>Documents>Privacy & Security           | 8/7/17                    |   |
| Issue of Requiring Authorization Forms to Include Copy or Fax - Position Statement                                     | ~  | Privacy                 | ROI - Authorization Form Fax Position Stmt | Resources>Documents>Privacy & Security           | 8/30/18                   |   |
| Management of Employee Health Records Whitepaper   | ~  | Privacy                 | ROI - Employee Health                      | Resources>Documents>Privacy & Security           | 4/1/19                    |   |
| Marketing Policy Doc   | ~  | Privacy                 | ROI - Marketing                            | Resources>Documents>Privacy & Security           | 3/1/14                    |   |
| Minimum Necessary Doc  | Sample Request for Access to <Organization> Protected Health Information   | Privacy                 | Minimum Necessary                          | Resources>Documents>Privacy & Security           | 6/30/16                   |   |
| Minimum Necessary Training PPT   | ~  | Privacy                 | Minimum Necessary                          | Resources>Documents>Privacy & Security           | None listed               |   |
| Minors and Access Doc  | ~  | Privacy                 | ROI - Minors                               | Resources>Documents>Privacy & Security           | 7/19/13                   |   |
| Notice of Privacy Practice Acknowledgement   | Form: Acknowledgement of Receipt of Privacy Notice   | Privacy                 | Notice of Privacy Practices                | Resources>Documents>Privacy & Security           | 7/22/13                   |   |

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|--|--|-------------------------|-----------------------------|--|---------------------------|---|
| Notice or Privacy Practice Policy - Payer  | ~  | Privacy                 | Notice of Privacy Practices | Resources>Documents>Privacy & Security           | 7/22/13                   |   |
| Notice of Privacy Practice Model - Payer   | ~  | Privacy                 | Notice of Privacy Practices | Resources>Documents>Privacy & Security           | 7/22/13                   |   |
| Notice of Privacy Practice Policy - Provider   | ~  | Privacy                 | Notice of Privacy Practices | Resources>Documents>Privacy & Security           | 7/22/13                   |   |
| Notice of Privacy Practice Model - Provider  | ~  | Privacy                 | Notice of Privacy Practices | Resources>Documents>Privacy & Security           | 7/22/13                   |   |
| Occupational Health Whitepaper   | ~  | Privacy                 | ROI - Occupational Health   | Resources>Documents>Privacy & Security           | 4/15/13                   |   |
| Patient/Insured Privacy-Related Complaints Policy & Form                                     | Form: Patient/Insured Privacy Complaint Report Form      | Privacy                 | Incidents                   | Resources>Documents>Privacy & Security           | 5/18/17                   |   |
| Patient Privacy Rights - Policy  |  | Privacy                 | Patient Rights              | Resources>Documents>Privacy & Security           | 6/5/18                    |   |
| Patient Privacy Rights - PowerPoint  | ~  | Privacy                 | Patient Rights              | Resources>Documents>Privacy & Security           | 9/18/17                   |   |
| Patient Right to Access, Inspect and Copy Protected Health Information                       | ~  | Privacy                 | ROI - Patient               | Resources>Documents>Privacy & Security           | 8/30/18                   |   |
| Plan Documents Policy  | ~  | Privacy                 | Plan Documents              | Resources>Documents>Privacy & Security           | 8/30/19                   |   |
| Policy & Procedure for Use and Disclosure of PHI for Judicial and Administrative Proceedings | ~  | Privacy                 | ROI - Legal Proceedings     | Resources>Documents>Privacy & Security           | 12/5/18                   |   |

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|---|--|------------------|---|---|--------------------|--|
| Preemption - WI 146   | ~  | Privacy          | Preemption - Mental Health & Developmental Disabilities | Resources>Documents>Privacy & Security    | 7/10/08            |  |
| Preemption - WI 252   | ~  | Privacy          | Preemption - STD, HIV, etc.                             | Resources>Documents>Privacy & Security    | 8/17/03            |  |
| Preemption - Wis. Stat. 256.15(12): Ambulance Records Preemption Analysis | ~  | Privacy          | ROI - Ambulance, EMT, 1st Responder                     | Resources>Documents>Privacy & Security    | 10/2/19            |  |
| Preemption - WI 51.30   | ~  | Privacy          | Preemption - Mental Health & Developmental Disabilities | Resources>Documents>Privacy & Security    | 3/1/09             |  |
| Preemption Analysis Supplement Definitions                                | ~  | Privacy          | Preemption  | Resources>Documents>Privacy & Security    | 3/5/19             |  |
| Preemption Matrix (Payer) DOC   | ~  | Privacy          | ROI - Payer   | Resources>Documents>Privacy & Security    | 4/29/03            |  |
| Privacy Officer Breach Notification Checklist                             | ~  | Privacy          | Incidents   | Resources>Documents>Privacy & Security    | 3/1/12             | Incidents - Breach Notifications                 |
| Psychotherapy Notes   | ~  | Privacy          | ROI - Psychotherapy Notes                               | Resources>Documents>Privacy & Security    | 5/11/15            |  |
| Restriction Requests Policy   | Forms: Sample request for Restrictions on Use/Disclosure of PHI, Sample Letter of Approval for Request for Restrictions, Sample Letter for Denial for Request for Restrictions | Privacy          | Restriction Requests                                    | Resources>Documents>Privacy & Security    | 9/18/14            |  |

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|---|---|-------------------------|------------------------------------|--|---------------------------|---|
| Sample Letters for Amendment Policy                                       | Multiple Sample Letters Responding to Amendment Requests  | Privacy                 | Amendment Requests                 | Resources>Documents>Privacy & Security           | 2/1/13                    |   |
| Validity of Patient Authorization   | ~   | Privacy                 | ROI - Authorization Form Checklist | Resources>Documents>Privacy & Security           | 4/13/16                   |   |
| WI Authorization Form Doc   | Form: Authorization for Use and Disclosure of Health Information  | Privacy                 | ROI - Authorization Form Example   | Resources>Documents>Privacy & Security           | 3/25/16                   |   |
| Workers Compensation  | ~   | Privacy                 | ROI - Workers' Compensation        | Resources>Documents>Privacy & Security           | 10/4/17                   |   |
| Breach Notification - 2013 Omnibus Rule Version - Compliance Date 9/23/13 | Sample Notification Letter to Secretary of HHS, Sample Media Notification Statement/Release, Sample Breach Notification Log | Privacy & Security      | Incidents                          | Resources>Documents>Privacy & Security           | 7/17/13                   | Incidents - Breach Notifications                        |
| Business Associate Agreement Policy/Procedure                             | List: Examples of Business Associates, Business Associate Agreement Checklist, Business Associate Questionnaire             | Privacy & Security      | Business Associate Agreement       | Resources>Documents>Privacy & Security           | 6/30/16                   | Business Associate Agreement                            |
| Example Privacy & Security Training Session                               | ~   | Privacy & Security      | Training                           | Resources>Documents>Privacy & Security           | 4/8/15                    | Training  |
| Contingency Planning Whitepaper   | Forms: Disaster Recovery Team Status Report - Sample Template, Information Security Incident Report Form                    | Security                | Contingency Plan                   | Resources>Documents>Privacy & Security           | 4/24/18                   | Contingency Plan  |

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|---|--|------------------|----------------------------------|---|--------------------|--|
| Data Management & Backup  |  | Security         | Data Management & Backup         | Resources>Documents>Privacy & Security    | 3/27/14            | Data Management                                  |
| Encryption Whitepaper   | Links: Multiple Links for Reference  | Security         | Encryption                       | Resources>Documents>Privacy & Security    | 1/23/20            | Transmission Security - Encryption               |
| Facility Access Policy  | Log: Visitor Sign-In Log   | Security         | Facility Safeguards              | Resources>Documents>Privacy & Security    | 10/31/19           | Facility Access                                  |
| Facility Repairs & Maintenance                                      | ~  | Security         | Facility Safeguards              | Resources>Documents>Privacy & Security    | 12/19/19           | Facility Maintenance                             |
| HIPAA Security Oversight Policy                                     | ~  | Security         | HIPAA Oversight                  | Resources>Documents>Privacy & Security    | 7/17/14            | HIPAA Oversight                                  |
| Information System Activity Review (Formerly named Auditing Policy) | ~  | Security         | Auditing, Audit Trail, Audit log | Resources>Documents>Privacy & Security    | 5/21/15            | Auditing; Auditing & Security Measures           |
| Media Sanitization for Disposal or Reuse                            | Forms: Certificate of Destruction, NIST Certificate of Sanitization. Flowchart: Asset    | Security         | Disposal & Reuse                 | Resources>Documents>Privacy & Security    | 11/25/19           | ePHI Disposal                                    |
| Portable Handheld Device Policy                                     |  | Security         | Portable Media                   | Resources>Documents>Privacy & Security    | 3/15/17            |  |
| Remote Access Policy  | Form: Request for Remote Access. Checklist: Remote Workstation Checklist Recommendations | Security         | Access - Remote                  | Resources>Documents>Privacy & Security    | 2/27/13            | System Access                                    |
| Removable Media Policy  |  | Security         | Removable Media                  | Resources>Documents>Privacy & Security    | 3/15/17            |  |
| Security Benchmarking Whitepaper                                    | ~  | Security         | Security Effort Benchmarking     | Resources>Documents>Privacy & Security    | 7/13/10            |  |

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| Security Incident Response             | Security Incident Response Flow Chart, Sample Incident Report Form, Chain of Custody Procedures. Links to: SANS Sample Incident Handling Forms. | Security                | Incidents                        | Resources>Documents>Privacy & Security           | 10/31/19                  | Incidents - Security Incident Response                  |
| Security Policy & Procedure Checklist  | Spreadsheet Tabs: Directions, Checklist   | Security                | Security Policy & Procedure List | Resources>Documents>Privacy & Security           | 5/30/18                   | N/A   |
| Security Standards and Associated P&Ps | ~   | Security                | Security Policy & Procedure List | Resources>Documents>Privacy & Security           | 6/26/19                   | N/A   |
| Social Media Guidelines                |   | Security                | Social Media                     | Resources>Documents>Privacy & Security           | 2/27/19                   |   |
| System Access Policy                   | Confidentiality and Information Access Agreement, System Access Request/Change Form Password Guidelines, Termination Checklist                  | Security                | Access - System                  | Resources>Documents>Privacy & Security           | 4/1/19                    | System Access   |
| HIPAA COW OCR Audit Protocol           | Audit Protocol Intro, June 2012 HIPAA Security OCR Protocol, June 2012 HIPAA Privacy & Breach OCR Audit Protocol                                | Risk Management         | Risk Analysis                    | Resources>Documents>Risk Toolkit                 | 8/10/12                   | Risk Management   |



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|---|--|-------------------------|-----------------|---|---------------------------|---|
| HIPAA COW Risk Analysis Report Template   | Threat Identification Overview, Risk Calculation Worksheet and Risk Scale Necessary Actions, Risk Likelihood, Risk Impact, and Risk Level Definitions, NIST Risk Mitigation Methodology Activities | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 5/18/12                   | Risk Management   |
| Network Diagram Example   | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 1/6/12                    | Risk Management   |
| NIST Risk Assessment Steps  | Risk Assessment Methodology Flowchart  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 1/12/12                   | Risk Management   |
| NIST Risk Definitions and Calculations  | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 1/6/12                    | Risk Management   |
| NIST Risk Mitigation Activities   | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 1/6/12                    | Risk Management   |
| NIST Threat Overview  | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 1/6/12                    | Risk Management   |
| OCR Audit Protocol 2013 Cross Reference to the HIPAA COW Risk Assessment Changes Made | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit                              | 9/6/13                    | Risk Management   |
| Guide for the HIPAA COW Risk Analysis & Risk Management Toolkit                       | ~  | Risk Management         | Risk Analysis   | Resources>Documents>Risk Toolkit and also >Privacy & Security | 9/13/13                   | Risk Management   |

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| HIPAA COW Risk Assessment Template                          | Spreadsheet Tabs:<br>Example Security P&P List,<br>Security Questions,<br>Threat Source List,<br>Inventory Asset List,<br>Risk Mitigation<br>Implementation Plan | Risk Management         | Risk Analysis                | Resources>Documents><br>Risk Toolkit and also<br>>Privacy & Security | 9/8/17                    | Risk Management   |
| Privacy, Security & Meaningful Use Questions to Ask Vendors | ~  | Risk Management         | Risk Analysis                | Resources>Documents><br>Privacy & Security                           | 12/15/11                  |   |
| Risk Management Policy                                      | ~  | Risk Management         | Risk Analysis                | Resources>Documents><br>Risk Toolkit and also<br>>Privacy & Security | 1/16/13                   | Risk Management   |
| Example Policy and Procedure Template                       | ~  | All                     | Policy Template              | Resources>Documents><br>Privacy & Security                           | 12/9/12                   |   |
| Why Should I Join a Networking Group Brochure?              | ~  | All                     | Networking Group Recruitment | Networking Groups  | Ongoing                   |   |
| Business Associate 101 Webinar                              | ~  | N/A                     | Training                     | Resources>HIPAA<br>Education>HIPAA 101                               | 8/7/19                    |   |
| Disclosures to Law Enforcement Webinar                      | ~  | N/A                     | Training                     | Resources>HIPAA<br>Education>Other                                   | 10/27/14                  |   |
| HIPAA Privacy 101 Webinar                                   | ~  | N/A                     | Training                     | Resources>HIPAA<br>Education>HIPAA 101                               | 8/7/19                    |   |
| HIPAA Risk 101 Webinar                                      | ~  | N/A                     | Training                     | Resources>HIPAA<br>Education>HIPAA 101                               | 10/31/13                  |   |
| HIPAA Security 101 Webinar                                  | ~  | N/A                     | Training                     | Resources>HIPAA<br>Education>HIPAA 101                               | 9/26/19                   |   |

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| ICD-10 Presentation - "Testing, Testing: An IT Perspective on ICD-10 | ~   | N/A                     | Training        | Resources>Documents>EDI and also HIPAA Education>Other | 3/18/14                   |   |
| New Guidance on Patient Right of Access Webinar                      | ~   | N/A                     | Training        | Resources>HIPAA Education>Other                        | 11/27/17                  |   |
| Social Media Webinar   | ~   | N/A                     | Training        | Resources>HIPAA Education>Other                        | 6/20/16                   |   |
| 2014-2015 Healthcare Breaches – Is History Repeating Itself Webinar  | ~   | N/A                     | Training        | Resources>HIPAA Education>Other                        | 4/6/15                    |   |
| Wisconsin Consumer HIPAA Guide                                       | Link to: A WI Consumer's Guide to Health Information Privacy Brochure | Consumer Relations      | Consumer Info   | Resources>Documents>Privacy & Security                 | None listed               |   |

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