



HOW THE MONEY MOVES
TRIBAL STAG
(GAP AND CAA)
CONGRESS TO THE EPA REGIONS

Pat Childers

National Tribal Forum on Air Quality 2019



Order of Discussion

- **Federal Budget**
 - *How funds get to EPA HQ*
- **A Bit about Gap**
- **103/105 Tribal Allocation Factors**
 - *How money gets from HQ to EPA Regions*

Federal Budget Management

Two Parts – Formulation and Execution

■ Formulation

- Develop future budgets
 - currently formulating budgets for FY 2021 and beyond

■ Execution

- Ensure correct use of funds that were appropriated and allocated
- Develop Operating Plans and oversee spending of funds
 - EPA is executing the FY 2019 budget and managing monies from current and prior fiscal years

Important Definitions

- **President's Budget** - The Administration's proposed plan for managing funds
- **Appropriation (Congress)** – Congress passes a bill giving (appropriating) entities the right to spend a certain amount on particular items
- **Rescission** – Congress takes money back from an Agency
- **Continuing Resolution** – Temporary appropriations for a set period of time
- **Allocation (Agency, Bureau)** – EPA gives particular parts of the Agency (NPMs and Regions) the right to spend money

GAP Allocation Methodology

- EPA uses a three-step process for allocating appropriated GAP dollars to regional offices
- The process has remained largely unchanged since it was established in 1996, with two notable exceptions:
 - *(1) in 1998 the per tribe average environmental grant level was changed from \$75,000 to \$110,000; and*
 - *(2) in 2012 the number of recipients became a factor*

GAP Allocation Methodology - continued

- Regional allocations are determined by first multiplying \$110,000 by the number of federally recognized tribes in each Region
- Any unallocated balance after Step 1 is then divided equally by the number of active GAP recipients (including intertribal consortia recipients and tribal PPGs containing GAP funds) and added to the regional allocation accordingly
- Steps 1 and 2 combine to make-up each region's base allocation

103/105 Tribal Allocation Factors

HQ to the Regions

Step 1

- Start with total allocation (approximately \$12,000,000.00)
- Subtract funding for AIAQTP grant which includes TAMS, ITEP training and professional assistance and the NTFAQ
- Calculate each regions base funding – half of what they received prior year plus additional \$75,000.00 for regional priorities

103/105 Tribal Allocation Factors

HQ to the Regions

Step 2

- Regions are then allocated funds based on the following factors:
 - *Tribes with 105 (20K)*
 - *Tribes with regulatory TAS (25k)*
 - *Number of TIP's approved (35k)*
 - *Delegated approvals (20K)*
 - *Energy Production (set amount of 260k)*
 - *National Network Monitors CASTNet (47k)*
 - *National Network Monitors NCORE (75k)*

103/105 Tribal Allocation Factors HQ to the Regions

Step 3

- Regions are then allocated funds based on the additional factors:
 - Reservation Population (18%)
 - Number Tribes in non-attainment areas (11.5%)
 - Number of permitted major sources/synth minor in Indian Country (7.5%)
 - Tribes with non-regulatory TAS (5%)
 - Number of Tribes (21.5%)
 - Number of Tribes operating AQ monitors (17.5%)
 - Number of Tribes with completed EI's (12%)
 - Number of Tribes actively addressing toxics (7%)

Finished???---Not quite

10 percent Safety Factor Applied –

ensures no one region takes too large of a reduction from prior year

Region	2012	2013	2014	2015	2016	2017
1	\$657,063	\$613,577	\$622,967	\$621,504	\$594,273	\$576,217
2	\$440,175	\$424,265	\$424,983	\$417,874	\$403,087	\$394,068
4	\$330,964	\$312,481	\$316,989	\$313,173	\$315,674	\$327,356
5	\$1,263,752	\$1,145,597	\$1,179,144	\$1,226,435	\$1,228,784	\$1,233,135
6	\$1,305,009	\$1,174,439	\$1,176,253	\$1,181,133	\$1,141,449	\$1,137,346
7	\$465,216	\$434,188	\$499,756	\$524,625	\$534,917	\$534,741
8	\$2,109,888	\$2,002,337	\$2,096,474	\$2,070,039	\$2,001,325	\$1,975,617
9	\$3,259,737	\$2,933,750	\$2,974,502	\$2,885,487	\$2,967,439	\$2,916,567
10*	\$2,657,197	\$2,421,367	\$2,466,932	\$2,443,631	\$2,464,053	\$2,449,954
Total	\$12,489,000	\$11,462,001	\$11,758,000	\$11,683,901	\$11,651,001	\$11,545,000

One last step

- Quality assurance requirements and guidelines require independent site audits. 20% of monitoring sites are audited each year.
 - *Performance evaluations /audits in which the quantitative data generated in a measurement system are obtained independently and compared with routinely obtained data to evaluate the proficiency of an analyst or laboratory.*
- The options for audits are for States and Tribes to either self implement a program or buy into EPA's national contracts to support the audits.
- For those Tribes buying into the national contract, regions and Tribes can request that these funds remain at EPA HQ and not be allocated to the regions.
- This simplifies the funding for these processes, as opposed to having the resources allocated to the region and/or Tribe then returned to HQ for contractor.

Thank You
Any Questions?

Pat Childers

Childers.pat@epa.gov

(202) 564-1082