

**PhD Seminar in Comparative Politics (SIS 802-002)**  
**American University, School of International Service – FALL 2017**

Thursdays, 2:30-5:20 in SIS 348  
Office hours in SIS 343  
Wednesdays, 1 – 4 & by appointment  
Course assistant: Erin Kelly ([kelly.erin123@gmail.com](mailto:kelly.erin123@gmail.com))

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**COURSE DESCRIPTION**

This seminar introduces PhD students to core themes, debates, and concepts of comparative politics. The readings explore different theoretical traditions and interrogate the extent to which they can be considered complementary or in conflict. Lectures will familiarize students with the intellectual histories of these traditions and discuss the relationships among methodological innovations, theory, and discovery.

Comparative politics is traditionally understood as a subfield of political science. As such, it differs from international relations by focusing on comparisons within or between countries, regions, or systems. Yet shared interests in topics such as terrorism, corruption, democratization, and populism have blurred many of these distinctions. Political science has also increasingly mirrored the interdisciplinary approach to international affairs at the heart of SIS by incorporating research techniques and ideas from fields such as psychology, biology, mathematics, and especially economics. This seminar will expose students to emerging trends, such as field experiments and semi-authoritarianism, alongside classic research on public goods, collective action, clientelism, and contentious politics.

**COURSE OBJECTIVES:**

Students will learn how to identify and critique different theoretical traditions. They will also learn how to specify a research topic, situate it within the relevant scholarly literature, and justify its broader importance. Although it is not a methodology class, the seminar will expose students to a range of methodologies and the conditions influencing their selection. It will also give students the opportunity to practice research design by formulating research questions, assessing options for case selection, thinking critically about concept development, and being creative about measurement.

**COURSE MATERIALS**

The AU bookstore has ordered the following books, and they are all on short-term reserve in the library too:

- Brownlee, Jason, Tarek Masoud, and Andrew Reynolds. 2015. *The Arab Spring: Pathways of Repression and Reform*. New York: Oxford University Press.
- Chenoweth, Erica, and Maria J Stephan. 2011. *Why Civil Resistance Works: The Strategic Logic of Nonviolent Conflict*. Columbia University Press.
- Welzel, Christian. 2013. *Freedom Rising: Human Empowerment and the Quest for Emancipation*. Cambridge: Cambridge University Press.

**Learning Outcomes:**

- Explore and critique different theoretical traditions and empirical orientations in comparative politics
- Acquire skills to identify topics worthy of original research and situate them within existing scholarly literature
- Gain familiarity with common tools of comparative analysis
- Evaluate concepts and effectively develop alternative definitions and plausible operationalizations
- Practice practical techniques for improving writing
- Prepare for PhD comprehensives

**E-reserves:** these readings are indicated by an **\*asterisk** on the syllabus. Some required materials have a hyperlink on the syllabus and are not on Blackboard. If you encounter any problems accessing the e-readings, please notify the course assistant and then search for the item using the AU library's "search box" (Google Scholar will sometimes let you down). Please note that the required readings are listed according to a recommended sequence, not alphabetically.

### ASSIGNMENTS:

- **Analytical essays** – Each student will sign up to write two short essays (of about six pages each) that explain and critique the readings for the week. Additional instructions and tips for this assignment are posted on Blackboard. (15% each)
- **Writing modules** – These one-page assignments are designed to familiarize you with structure, language, and techniques of academic writing. They also give you opportunities to learn how to constructively critique your colleagues in a conversational setting and receive public commentary from discussants. Each module is posted as a discussion forum on Blackboard with instructions and additional information. Please post your assignment as a thread in the appropriate forum prior to class (5% each):
  - Module #1, creative measurement, due on September 14.
  - Module #2, the empirical puzzle as motivating question, due on September 28.
  - Module #3, the art of the introductory anecdote, due on November 2.
- **Paper and presentation on regime change** – In a paper of 10-15 double-spaced pages, discuss Brownlee et al. and Chenoweth and Stephan. Compare and contrast the arguments for democratization "from above" and "from below." Be sure to reference the relevant literature, to examine their causal variables, and to discuss two cases that illustrate contrasting outcomes. Additional research is acceptable but not necessary. Hardcopy due on November 16 in class (25%). Come to class prepared to do a presentation for about ten minutes.
- **Welzel chapter outline**, due in class on November 30.
- **Class participation** (10%)
- **Take home final** – you will have no more than one week to complete the exam (20%)

### ADMINISTRATIVE:

A passing grade will not be awarded without completion of all requirements. If you anticipate missing an assignment deadline due to a medical or other emergency, please notify me before the due date. My grading scale is as follows: 100 to 94 is an A, 93 to 90 is an A-, 89 to 87 is a B+, 86 to 84 is a B, 83 to 80 is a B-, *etc.* Late papers will be penalized. AU's Academic Integrity Code will be strictly enforced. For information consult the Provost's website. All papers must follow a formatting style such as Chicago, APSA or MLA. For more information go to <http://subjectguides.library.american.edu/citation>. *Do not browse, email, text, etc. during class!*

### 1) INTRODUCTION (August 29)

**NOTE: class meets on Tuesday in SIS 349 instead of Thursday this week only.**

\* Foa, Roberto Stefan, and Yascha Mounk. 2017. "The Signs of Deconsolidation." *Journal of Democracy* no. 28 (1):5-16.

Weber, Max. "Politics as a Vocation," <http://media.pfeiffer.edu/Iridener/DSS/Weber/polvoc.html>

- \* Menand, Louis. 2001. Chapter 6, "Brazil." *The Metaphysical Club*. New York: Farrar, Straus, pp. 117-148.

Recommended:

- Dryzek, John. 2006. "Revolutions without Enemies: Key Transformations in Political Science," *American Political Science Review* 100(4):487 – 492.
- MacWilliams, Matthew C. 2016. "Who Decides When The Party Doesn't? Authoritarian Voters and the Rise of Donald Trump." *PS, Political Science & Politics* no. 49 (4):716-721.
- Silvers, Nate. *The Signal and the Noise: Why Most Predictions Fail-- But Some Don't*.
- Weber, Max. "Science as a Profession," [http://www.sociosite.net/topics/texts/weber\\_science\\_as\\_a\\_profession.php](http://www.sociosite.net/topics/texts/weber_science_as_a_profession.php)

## 2) CONCEPTS (September 7)

- \* Fukuyama, Francis. 2013. "What Is Governance?" *Governance* 26(3): 347-68.
- \* Varshney, Asutosh. 2007. "Ethnicity and Ethnic Conflict," Chapter 12 in *Oxford Handbook of Comparative Politics*, pages 274-294
- \* Levitsky, Steven, and Lucan Way. 2010. *Competitive Authoritarianism: Hybrid Regimes after the Cold War*. Cambridge and New York: Cambridge University Press, pp. 1-36.
- Bernstein, Jared, and Dean Baker. "What is 'Seinfeld' Worth?" *New York Times*, July 31, 2013. <http://www.nytimes.com/2013/08/01/opinion/what-is-seinfeld-worth.html? r=0>

Recommended:

- Collier, David. 1995. "Trajectory of a Concept: 'Corporatism' in the Study of Latin American Politics." In *Latin America in Comparative Perspective: New Approaches to Methods and Analysis*, ed. P. H. Smith. Boulder, San Francisco and Oxford: Westview Press.
- Fearon, James D., and David Laitin. 2011. "Sons of the Soil, Migrants, and Civil War." *World Development* no. 39 (2):212-220.
- Lancaster, Thomas D. 2014. "'Political Monitoring' as an Analytical Concept: A Lasting Legacy?" *Publius: The Journal of Federalism* 44 (2):249-274.
- Morlino, Leonardo. 2009. "Are There Hybrid Regimes? Or are they just an Optical Illusion?" *European Political Science Review* 1 (2):273-96.
- Pitcher, Anne, Mary H. Moran, and Michael Johnston. 2009. "Rethinking Patrimonialism and Neopatrimonialism in Africa." *African Studies Review* 52 (1):125-56.
- Sambanis, Nicholas. 2004. "What is a Civil War? Conceptual and Empirical Complexities of an Operational Definition." *Journal of Conflict Resolution* 48 (6): 814-58.
- Sartori, Giovanni. 1970. "Concept Misformation in Comparative Politics." *The American Political Science Review* 64 (4):1033-53.

## 3) OPERATIONALIZATION AND MEASUREMENT (September 14)

- \* Hogstrom, John. 2013. "Does the Choice of Democracy Measure Matter?" *Government and Opposition* 48:202-21.
- \* Wilson, Matthew C. 2014. "A Discreet Critique of Regime Type Data," *Comparative Political Studies* 47 (5):689-714.
- \* Levitsky, Steven, and Lucan Way. 2010. *Competitive Authoritarianism: Hybrid Regimes After the Cold War*. Cambridge and New York: Cambridge University Press, pp. 37-84.
- \* Mungiu-Pippidi, Alina. "For a New Generation of Objective Indicators in Governance and Corruption Studies," *European Journal of Criminal Policy Research* (2016) 22: 363.

➔ **Writing module #1: creative measurement**

Recommended:

- Blattman, Christopher. 2009. "From Violence to Voting: War and Political Participation in Uganda." *American Political Science Review* 103 (2):231-247.
- American Political Science Association. 2017. Comparative Democratization Newsletter, Special Issue on "Measuring Democracy" (June). **Posted to Blackboard under "content."**
- Getmansky, Anna, and Thomas Zeitzoff. 2014. "Terrorism and Voting: The Effect of Rocket Threat on Voting in Israeli Elections." *American Political Science Review* no. 108 (3):588-604.
- Gibson, James L. 1992. "Alternative Measures of Political Tolerance," *American Journal of Political Science* 36 (2).
- Hendrix, Cullen. 2010. "Measuring State Capacity: Theoretical and Empirical Implications for the Study of Civil Conflict." *Journal of Peace Research* 47 (3):273-85.
- Jerven, Morten. 2013. *Poor Numbers: How we are Misled by African Development Statistics and What to Do About It*. Ithaca: Cornell University Press.
- Kevane, Michael. 2008. "Official Representations of the Nation: Comparing the Postage Stamps of Sudan and Burkina Faso," *African Studies Quarterly* 10(1).
- Miguel, Ted. 2005. "Poverty and Witch Killing." *Review of Economic Studies* no. 72 (4):1153-1172.
- Schneider, Aaron. 2003. "Decentralization: Conceptualization and Measurement." *Studies in Comparative International Development* 38 (3): 32-56.
- Voigt, Stefan, and Lorenz Blume. 2011. "Federalism and Decentralization – A Critical Survey of Frequently Used Indicators," *Constitutional Political Economy* 22:238-64.

#### **4) THEORIES AND CAUSALITY (September 21)**

- \* Przeworski, Adam et al. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950 – 1990*. New York: Cambridge University Press, pp. 78-137
- \* Boix, Carles and Susan Stokes, "Endogenous Democratization," *World Politics* 55 (July 2003): 517-549.
- \* Baldassarri, Delia, and Maria Abascal. 2017. "Field Experiments across the Social Sciences." *Annual Review of Sociology* 43 (1): 41-73.
- \* Pierson, Paul. 2003. Chapter 5, "Big, Slow-Moving, and...Invisible." In *Comparative Historical Analysis in the Social Sciences*, ed. J. Mahoney and D. Rueschemeyer. Cambridge: Cambridge University Press.

Recommended:

- George, Alexander, and Andrew Bennett. 2004. *Case Studies and Theory Development in the Social Sciences*. Cambridge, MA and London: MIT Press.
- Busuioc, E. Madalina, and Martin Lodge. 2016. "The Reputational Basis of Public Accountability." *Governance* 29 (2):247-263.
- Coppedge, Chapter 3, "Criteria for Evaluating Theories," George, Alexander L., and Andrew Bennett. 2004. *Case Studies and Theory Development in the Social Sciences*. Cambridge, MA and London: MIT Press.
- Eisenstadt, Todd A., A. Carl LeVan, and Tofigh Maboudi. 2017. "Parchment Politics," in *Constituents before Assembly: Participation, Deliberation, and Representation in the Crafting of New Constitutions*. New York: Cambridge University Press, (especially pages 59-70).
- Habyarimana, James, Macartan Humphreys, Daniel Posner, and Jeremy M. Weinstein. 2009. *Coethnicity: Diversity and the Dilemmas of Collective Action*. New York: Russell Sage Foundation.
- Hawkes, Kristen, James F O'Connell, NG Blurton Jones, Helen Alvarez, and Eric L Charnov. 1998. "Grandmothering, Menopause, and the Evolution of Human Life Histories." *Proceedings of the National Academy of Sciences* no. 95 (3):1336-1339.
- King, Gary, Robert O. Keohane, and Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton: Princeton University Press.
- Lyall, Jason. 2010. "Are Coethnics More Effective Counterinsurgents? Evidence from the Second Chechen War." *American Political Science Review* 104 (1):1-20.
- Symposium, "Perestroika in Political Science: Past, Present, and Future," *PS: Political Science and Politics* 43, 4 (October 2010), 725 – 754.

- Tang, Min, and Dwayne Woods. 2014. "Conditional Effect of Economic Development on Democracy—the Relevance of the State." *Democratization* 21 (3):411-433.
- Tilly, Charles. 1984. *Big Structures, Large processes, Huge Comparisons*. New York: Russell Sage Foundation.
- Wallerstein, Immanuel Maurice. 1974. *The Modern World-System*. New York: Academic Press.

## 5) DEVELOPMENT AND DISTRIBUTION (September 28)

- Van de Walle and Takaaki Masaki. 2017. "Africa's Democracy is Good for Growth," International Development Blog, 14 February.  
<http://blogs.die-gdi.de/2017/02/14/africas-democracy-is-good-for-growth/>
- \* Kitschelt, Herbert. 2000. "Linkages between Citizens and Politicians in Democratic Polities," *Comparative Political Studies* 33(6/7):845–879.
- \* Lyne, Mona. 2008. "The Voter's Dilemma: Collective or Clientelistic Goods?" Chapter 1 in *The Voter's Dilemma and Democratic Accountability: Latin America and Beyond*. Philadelphia: Penn State University Press, pp. 22-62.
- \* LeVan, A. Carl. "A Theory of Institutions, Preferences and Performance," Chapter 1 in *Dictators and Democracy in African Development*, pages 32-54.

### → Writing module #2: using a puzzle to express your research motivation

#### Recommended:

- Ahmadov, Anar K. 2013. "Oil, Democracy, and Context: A Meta-Analysis." *Comparative Political Studies* no. 47 (9):1238-1267.
- Arriola, Leonardo. 2009. "Patronage and Political Stability in Africa." *Comparative Political Studies* 42(10): 1339-1362.
- Baum, Matthew A., and David Lake. 2003. "The Political Economy of Growth: Democracy and Human Capital." *American Journal of Political Science* no. 47 (2):333-347.
- Levitsky, Steven R., and Lucan Way. 2012. "Beyond Patronage: Violent Struggle, Ruling Party Cohesion, and Authoritarian Durability." *Perspectives on Politics* no. 10 (4):869-889.
- Olson, Mancur. 1993. "Dictatorship, Democracy, and Development," *American Political Science Review* 87:567-77.
- Wantchekon, Leonard. 2003. "Clientelism and Voting Behavior: A Field Experiment in Benin," *World Politics* 55(April): 399-422.

## 6) STATE BUILDING AND STATE FAILURE (October 5)

- \* Tilly, Charles. Chapter 1, in *Formation of National States in Western Europe* (1975)
- \* Herbst, Jeffrey. 1990. "War and the State in Africa," *International Security* 14, 4 (Spring): 117-39.
- \* Vu, Tuong. 2010. "Studying the State through State Formation," *World Politics* 62, 1 (January): 148-75.
- \* Coggins, Bridget L. 2015. "Does State Failure Cause Terrorism? An Empirical Analysis" (1999–2008)." *Journal of Conflict Resolution* no. 59 (3):455-483.

#### Recommended:

- Boone, Catherine. 2003. *Political Topographies of the African State: Territorial Authority and Institutional Choice*. Cambridge: Cambridge University Press.
- Downing, Brian. 1992. *The Military Revolution and Political Change: Origins of Democracy and Autocracy in Early Modern Europe*. Princeton: Princeton University Press.
- Egnell, Robert, and Peter Haldén. Eds. 2013. *New Agendas in Statebuilding: Hybridity, Contingency and History*. London: Routledge.
- Ertman, Thomas. 1997. *Birth of the Leviathan Building States and Regimes in Medieval and Early Modern Europe*. Cambridge: Cambridge University Press.

- Leeson, Peter. 2007. "Better off Stateless: Somalia Before and After Government Collapse," *Journal of Comparative Economics* 35 (2007): 689-710.
- Patrick, Stewart. 2011. *Weak links: Fragile States, Global Threats, and International Security*. Oxford: Oxford University Press.
- Spruyt, Hendrik. 1996. *The Sovereign State and Its Competitors*. Princeton Studies in International History and Politics. Princeton: Princeton University Press.

## 7) INSTITUTIONAL ANALYSIS (October 12)

- \* Schmidt, Vivien A. 2010. "Taking Ideas and Discourse Seriously: Explaining Change through Discursive Institutionalism as the Fourth 'New Institutionalism'." *European Political Science Review* 2 (1):1-25.
- \* Mahoney, James. 2000. "Path Dependence in Historical Sociology," *Theory and Society* 29 (2000): 507- 548.
- \* Acemoglu, Daron, and James Robinson. 2012. *Why Nations Fail: the Origins of Power, Prosperity, and Poverty*. Chapter 3, "The Making of Prosperity and Poverty," pages 70-95. New York: Random House.
- \* Aksoy, Deniz, and David B. Carter. 2014. "Electoral Institutions and the Emergence of Terrorist Groups," *British Journal of Political Science* no. 44 (1):181-204.

### Recommended:

- Béjar, Sergio and Bumba Mukherjee, "Electoral Institutions and Growth Volatility: Theory and Evidence," *International Political Science Review* 32, 4 (2011): 458-479.
- Cheibub, Jose Antonio. 2007. *Presidentialism, Parliamentarism, and Democracy*. Cambridge: Cambridge University Press.
- Cooter, Robert. *The Strategic Constitution*. Princeton, N.J: Princeton University Press, 2000.
- LeVan, A. Carl. 2014. "Analytic Authoritarianism and Nigeria," *Commonwealth and Comparative Politics* 52 (2):1-20.
- Mahoney, James, and Kathleen Ann Thelen. *Explaining Institutional Change: Ambiguity, Agency, and Power*. Cambridge and New York: Cambridge University Press, 2010.
- Mahoney, James, and Dietrich Rueschemeyer, eds. *Comparative Historical Analysis in the Social Sciences*, Cambridge Studies in Comparative Politics. Cambridge, UK, New York: Cambridge University Press, 2003.
- March, James G., and Johan P. Olsen. 1996. "Institutional Perspectives on Political Institutions." *Governance* 9 (3):247-64.
- North, Douglass. 1990. *Institutions, Institutional Change and Economic Performance*. Cambridge and New York: Cambridge University Press.
- Linz, Juan J., and Arturo Valenzuela, eds. 1994. *The Failure of Presidential Democracy: Comparative Perspectives*. Baltimore: Johns Hopkins University.
- Sachs, Jeffrey D. 2012. "Government, Geography, and Growth," *Foreign Affairs*. (a critique of Acemoglu and Robinson) <http://www.foreignaffairs.com/articles/138016/jeffrey-d-sachs/government-geography-and-growth>
- Strøm, Kaare, Wolfgang C. Müller, and Torbjörn Bergman. 2008. *Cabinets and coalition Bargaining: the Democratic life Cycle in Western Europe*. Oxford: Oxford University Press.

## 8) COLLECTIVE ACTION AND PUBLIC GOODS (October 19)

- \* Ostrom, Elinor. 2009. "Collective Action Theory." In *The Oxford Handbook of Comparative Politics*, ed. C. Boix and S. C. Stokes. Oxford: Oxford University Press.
- \* Schneider, Friedrich, Tilman Brück, and Daniel Meierrieks. 2015. "The Economics of Counterterrorism: A Survey." *Journal of Economic Surveys* 29 (1):131-157.

### **And read one of the following:**

- Tan, Jingzhi, and Brian Hare. 2013. "Bonobos Share with Strangers." *PLoS One* 8(1): e51922. <http://www.plosone.org/article/info:doi/10.1371/journal.pone.0051922#s5>



Hawkes, Kristen, Jon Altman, Stephen Beckerman, Roy Richard Grinker, Henry Harpending, Robert J. Jeske, Nicolas Peterson, Eric Alden Smith, George W. Wenzel, and John E. Yellen. 1993. "Why Hunter-Gatherers Work: An Ancient Version of the Problem of Public Goods [and Comments and Reply]." *Current Anthropology* no. 34 (4):341-361.

Recommended:

Axelrod, Robert. 1972. *The Evolution of Cooperation*. New York: Basic Books, Inc.

Dougherty, Keith L. 2001. *Collective Action under the Articles of Confederation*. Cambridge: Cambridge University Press.

Hoffman, Leena Koni, and Raj Navanit Patel. 2017. *Collective Action on Corruption in Nigeria: A Social Norms Approach to Connecting Society and Institutions*. London: Chatham House.

Korkotsides, Anastasios. 2013. *Against Utility-Based Economics: On a Life-Based Approach*. London: Routledge.

Olson, Mancur. 1965. *The Logic of Collective Action: Public Goods and the Theory of Groups*. Cambridge, MA and London: Harvard University Press.

Ostrom, Elinor. 1990. *Governing the Commons: the Evolution of Institutions for Collective Action*. Cambridge, New York: Cambridge University Press.

Pierskalla, Jan H., and Florian Hollenbach. 2013. "Technology and Collective Action: the Effect of Cell Phone Coverage on Political Violence in Africa." *American Political Science Review* 107 (2):207-24.

Popkin, Samuel L. 1979. *The Rational Peasant: The Political Economy of Rural Society in Vietnam*. Berkeley: University of California Press.

Scott, James C. 1976. *The Moral Economy of the Peasant: Rebellion and Subsistence in Southeast Asia*. New Haven and London: Yale University Press.

## 9) SOCIAL MOVEMENTS (October 26)

### Guest lecture: Professor Todd Eisenstadt, School of Public Affairs

\* McAdam, Doug, Sidney Tarrow, and Charles Tilly. 2009. "Comparative Perspectives on Contentious Politics." In *Comparative Politics* (Second Edition), edited by Mark Lichbach and Alan Zukerman, 260-90.

Chenoweth and Stephan. Read through Chapter 3.

Recommended Readings:

Eckstein, Susan. 2001. *Power and Popular Protest: Latin American Social Movements*. Berkeley: University of California Press.

Melucci, Alberto. 1996. *Challenging codes collective action in the information age, Cambridge cultural social studies*. Cambridge England, New York: Cambridge University Press.

Piven, Frances Fox, and Richard A Cloward. 1979. *Poor People's Movements Why They Succeed, How They Fail*. New York: Vintage books.

Tarrow, Sidney. 1994. *Power in Movement: Social Movements, Collective Action, and Politics*. New York: Cambridge University Press.

Tilly, Charles, and Sidney Tarrow. 2007. *Contentious Politics*. Boulder: Paradigm Publishers.

Tufekci, Zeynep. 2017. *Twitter and Tear Gas: the Power and Fragility of Networked Protest*. New Haven: Yale University Press.

## 10) STATE AND SOCIETY STRUGGLES (November 2)

Chenoweth and Stephan, Chapters 4, 8 and 9. And choose one of the following case study chapters: 5, 6, or 7

Brownlee et al. Introduction and Chapter 1

➔ **Writing module #3:** the art of the anecdote as an introductory device

Recommended:

Ahmadov, Anar K. 2013. "Oil, Democracy, and Context: A Meta-Analysis." *Comparative Political Studies*.

- Bratton, Michael, and Nicolas Van de Walle. 1997. *Democratic Experiments in Africa: Regime Transitions in Comparative Perspective*. Cambridge and New York: Cambridge University Press.
- Bunce, Valerie, and Sharon Wolchik. *Defeating Authoritarian Leaders in Postcommunist Countries*. Cambridge and New York: Cambridge University Press, 2011.
- Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* no. 13 (1):5-21.
- Cheeseman, Nicholas. 2015. *Democracy in Africa: Successes, Failures, and the Struggle for Political Reform*. New York: Cambridge University Press.
- Deutsch, Karl W. 1961. "Social Mobilization and Political Development." *American Political Science Review* 55 (3):493-514.
- Diamond, Larry Jay. 1997. *Consolidating the Third Wave Democracies*. Baltimore: Johns Hopkins University Press.
- Diamond, Larry Jay. 2016. *In Search of Democracy*. New York: Routledge.
- Haggard, Stephan, and Robert R Kaufman, eds. 1995. *The Political Economy of Democratic Transitions*. Princeton, N.J: Princeton University Press.
- Haggard, Stephan, and Robert R. Kaufman. 2016. *Dictators and Democrats: Masses, Elites and Regime Change*. Princeton: Princeton University Press.
- Huntington, Samuel P. 1968. *Political Order in Changing Societies*. New Haven and London: Yale University Press.
- Lindberg, Staffan I., ed. *Democratization by Elections: A New Mode of Transition*. Baltimore: Johns Hopkins University Press, 2009.
- Mainwaring, Scott and Anibal Pérez-Linán, "Level of Development and Democracy: Latin American Exceptionalism, 1945-1996," *Comparative Political Studies* 36:9 (Nov. 2003): 1031-1067.
- O'Donnell, Guillermo, Philippe C. Schmitter, and Lawrence Whitehead. 1986. *Transitions from Authoritarian Rule: Prospects for Democracy*. Baltimore and London: Johns Hopkins University Press.
- Stoner, Kathryn and Michael McFaul, eds. 2013. *Transitions to Democracy: A Comparative Approach*. Baltimore: The Johns Hopkins University Press.
- Wood, Elisabeth Jean. 2000. *Forging Democracy from Below: Insurgent Transitions in South Africa and El Salvador*, Cambridge and New York: Cambridge University Press.

## **11) DEMOCRACIES AND DICTATORSHIPS IN THE ARAB SPRING (November 9)**

Brownlee et al., Chapters 2 – 5.

### Recommended:

- Belge, Ceren, and Ekrem Karakoc. 2015. "Minorities in the Middle East: Ethnicity, Religion, and Support for Authoritarianism." *Political Research Quarterly* 68 (2):280-92.
- Della Porta, Donnatella. 2014 *Mobilizing Democracy: Comparing 1989 and 2011*. Oxford: Oxford University press.
- Durac, Vincent. 2015. "Social movements, protest movements and cross-ideological coalitions – the Arab uprisings re-appraised." *Democratization* 22 (2):239-58.
- Hess, Steve. 2015. "Sources of Authoritarian Resilience in Regional Protest Waves: The Post-Communist Colour Revolutions and 2011 Arab Uprisings." *Government and Opposition* 1-29.
- Kamrava, Mehran. 2014. *Beyond the Arab Spring: the Evolving Ruling Bargain in the Middle East*. London: Hurst & Company.
- Lipset, Seymour Martin. 1959. "Some Social Requisites of Democracy: Economic Development and Political Legitimacy." *American Political Science Review* 53 (1):69-105.
- Scott, James M., and Ralph G. Carter. 2015. "From Cold War to Arab Spring: Mapping the Effects of Paradigm Shifts on the Nature and Dynamics of US Democracy Assistance to the Middle East and North Africa." *Democratization* no. 22 (4):738-763.
- Weyland, Kurt. 2012. "The Arab Spring: Why the Surprising Similarities with the Revolutionary Wave of 1848?" *Perspectives on Politics* no. 10 (4):917-934.

## **12) REGIME CHANGE – FROM ABOVE OR FROM BELOW? (November 16)**

**Paper due and class presentations.**

## **13) POLITICAL CULTURE (November 30)**

\* De Tocqueville, Alexis. Introduction



Welzel, Introduction and chapter 1. Prepare a short outline of 1-2 pages that explains and critiques one of following chapters in greater detail. (You should skim the other chapters. Note that each one has a useful “key points” section at the end.) Consider issues such as concept definition, operationalization, and unit of analysis. A goal of this assignment is to assess your ability to think critically and express yourself clearly, using some of the tools we learned earlier in the course. The outline will be shared with your classmates.

- Chapter 2, “Mapping differences” – measuring emancipative values
- Chapter 3, “Multilevel drivers” – values in individuals, societies, and cultural zones
- Chapter 4, “Tracing change” – longitudinal analysis

Recommended:

- Almond, Gabriel, and Sidney Verba. 1963. *The Civic Culture*. Princeton: Princeton University Press.
- Anderson, Margaret Lavinia. 2000. *Practicing Democracy: Elections and Political Culture in Imperial Germany*. Princeton, N.J: Princeton University Press.
- Dowd, Robert Alfred. 2015. *Christianity, Islam and Liberal Democracy: Lessons from Sub-Saharan Africa*. Oxford and New York: Oxford University Press.
- Elster, Jon. 2009. *Alexis de Tocqueville: the First Social Scientist*. New York: Cambridge University Press.
- Gellar, Sheldon. *Democracy in Senegal: Tocquevillian Analytics in Africa*. New York: Palgrave Macmillan, 2005.
- Huntington, Samuel P. 1996. *The Clash of Civilizations and the Remaking of World Order*. New York: Simon & Schuster.
- Maleki, Ammar, and Frank Hendriks. 2014. “The Relation between Cultural Values and Models of Democracy: A Cross-National Study,” *Democratization* (2014): 1-30.
- Moehler, Devra C. 2008. *Distrusting Democrats: Outcomes of Participatory Constitution Making*. Ann Arbor: University of Michigan Press.
- Ozzano, Luca, and Francesco Cavatorta. 2013. “Conclusion: Reassessing the Relation between Religion, Political Actors, and Democratization.” *Democratization* 20 (5):959-68
- Swedlow, Brendon. 2011. “A Cultural Theory of Politics,” *PS: Political Science and Politics* 44 (4):703-10.
- Woodberry, Robert D. 2012. “The Missionary Roots of Liberal Democracy,” *American Political Science Review* 106 (2):244-74.

## **14) FREEDOM AND CULTURAL CHANGE (December 7)**

Welzel, Chapters 5 through 10 and conclusion.

Recommended:

- Galston, William A. 2017. “The Populist Moment.” *Journal of Democracy* no. 28 (2):21-33.

## **TAKE HOME FINAL EXAM DUE ON DECEMBER 14**

### **EMERGENCY PREPAREDNESS**

In the event of a declared pandemic (influenza or other communicable disease), American University will implement a plan for meeting the needs of all members of the university community. Should the university be required to close for a period of time, we are committed to ensuring that all aspects of our educational programs will be delivered to our students. These may include altering and extending the duration of the traditional term schedule to complete essential instruction in the traditional format and/or use of distance instructional methods. Specific strategies will vary from class to class, depending on the format of the course and the timing of the emergency. Faculty will communicate class-specific information to students via AU e-mail and Blackboard, while students must inform their faculty immediately of any absence due to illness. Students are responsible for checking their AU e-mail regularly and keeping themselves informed of emergencies. In the event of a declared pandemic or other emergency, students should refer to the AU Web site ([www.prepared.american.edu](http://www.prepared.american.edu)) and the AU information line at (202) 885-1100 for general university-wide information, as well as contact their faculty and/or respective dean’s office for course and school/ college-specific information.