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Nicholas L. Sciarrino

Regulatory Consultant

Financial Services; Data Management; Data Analyst

Executive Summary

- Driven, results-oriented regulatory crisis manager with a unique background spanning regulatory change, operations, legal, and technology.
- Consistent delivery of measurable value to the bottom-line for institutions facing regulatory change in projects such as Margining Projects – FINRA 4210, Basel Capital Requirements, and Contract Lifecycle Management

Relevant Experience

- 8of9 May 2018 - Present
Regulatory Consultant, Project Castle (Tier 1 G-SiFi Client)
 - Onboard new clients in accordance with new 4210 regulation requirements.
 - Clean and organize incoming client data into a workable format for distribution to other departments.
 - Migrate trades between branches of the bank in order to comply with the strategic plan for the bank's booking model and new FINRA 4210 Financial Regulations.
 - Assist in the execution of MSFTAs between the bank and its clients.
 - Build, maintain, and supply bi-monthly deliverables, such as reports and trackers that observe flow of data, efficiency of parties involved, and progress of project.
 - Engage in weekly meetings with various bank personnel to facilitate strategic discussions between departments.
- CVS Pharmacy January 2017 - May 2018
Shift Supervisor
 - Managed front-store employees on the sales floor, including on-the-spot coaching, leading, and constructive feedback.
 - Ensured guest satisfaction with excellent customer service, provided by both myself, and the employees under my responsibility.
 - Maintained overall store financial integrity by handling cash outs throughout the day, and by counting the drawers and entering observed data in financial workbench program at store close.
 - Stocked inventory and maintained aisles in store utilizing CVS inventory applications to ensure all product is accounted for.

Skills

- Microsoft Office, including Excel pivot tables; v-lookup
- Project Management, including Agile and Waterfall
- PowerPoint Project Updates, Steering Committee Decks

Education

- 8of9 Training Program
 - Qualified Financial Contracts, ISDAs, MSFTAs, Recovery and Resolution Planning, CCAR, AML/KYC, FATCA, Basel, Margining Requirements, BRRD, MiFID, and more.
- B.A., CUNY Baruch College, Zicklin School of Business
 - Graduated: May, 2018
 - GPA: 3.75/4.0