

Successful Portfolios LLC

Privacy Statement

Successful Portfolios LLC collects nonpublic personal information about you from the following sources:

- Information Successful Portfolios LLC receives from you on applications or other forms (both paper and electronic)
- Information about your transactions in any brokerage account at any brokerage firm where you have given Successful Portfolios LLC limited trading authorization and authorization to access your account records
- Information Successful Portfolios LLC representatives receive from you during the course of personal conversations with you
- Information Successful Portfolios LLC receives from you via electronic and written correspondence.

Successful Portfolios LLC restricts access to your personal and account information to those employees who need to know that information to provide service to you. Successful Portfolios LLC maintains physical, electronic, and procedural safeguards to guard your nonpublic personal information.

Successful Portfolios LLC does not disclose nonpublic personal information about you to anyone, unless permitted by law and necessary to carry out a contractual relationship you have with Successful Portfolios LLC. If you decide to close your account(s) or become an inactive client, Successful Portfolios LLC will adhere to the privacy policies and practices as described in this notice.