

# Successful Portfolios LLC Investment Advisory Agreement

## Asset Custody and Brokerage Services

Client (you) chooses  Interactive Brokers **-OR-**  Charles Schwab for asset custody and brokerage services. Client hereby accepts responsibility for applicable brokerage and account fees as described at [www.interactivebrokers.com](http://www.interactivebrokers.com) and [www.advisorservices.schwab.com](http://www.advisorservices.schwab.com). Client authorizes Successful Portfolios LLC to take all action needed to assist the client with opening the client's brokerage account(s).

## Investment Authority

Client grants Successful Portfolios LLC ("Advisor") trading authorization for Client's brokerage account.  Prior to executing any trade, Advisor shall obtain the client's permission. **-OR-**  Advisor has full authority to execute trades in the client's brokerage account at the advisor's discretion.

## Advisor Compensation

For investment management and advisory services rendered by the Advisor, Client agrees to compensate the Advisor in the amount of \_\_\_\_\_% annualized of client assets under management.

## No Guarantee of Investment Results

Advisor makes no guarantee of investment results.

## Termination of Agreement

Client or Advisor may terminate this agreement at any time without penalty by providing written notice to the other party. If the client terminates this agreement within five days of execution, the advisor will refund any advisory fees.

## Client Acknowledges Receipt of Advisor's Form ADV Part II

Successful Portfolios Form ADV Part II is also available online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Agreed to and Accepted by:



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H. Parker Evans, CFA, CFP, CMT - President, Successful Portfolios LLC



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