

Successful Portfolios LLC

Business Continuity Plan (BCP) dated 10/07/2016

Successful Portfolios LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we intend to be flexible in responding to actual events as they occur. With that in mind, we are providing you, our valued client, with this information on our business continuity plan.

Contacting Us – If after a significant business disruption, you cannot contact us as you usually do at (727) 744-3614, you should call our alternative number (800) 454-6419, or go to our website at www.successfulportfolios.com. If you cannot reach us through of those means, you should directly contact your asset custody/brokerage firm for help either with your account regarding trading or with administration:

Interactive Brokers Advisor Services | www.interactivebrokers.com | (866) 694-2757

Charles Schwab Advisor Services | www.schwab.com | (877) 738-6817

Scottrade Advisor Services | www.scottrade.com | (866) 306-7135

TD Ameritrade Institutional | www.advisorclient.com | (888) 613-2401 X13

We plan to quickly recover and resume business operations after a significant business disruption. We will respond by contracting our clients and employees and by protecting company property, the firm's books and records, and allowing our clients to continue transact business directly with their respective brokerage firm. We intend to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses data backup and recovery of mission critical systems; alternative communications with customers, employees, and regulators; alternate physical location for employees; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Your brokerage firm (Interactive Brokers, Charles Schwab, Scottrade, and TD Ameritrade) backs up your account records in a geographically separate area. They have advised us that in the event of a disruption to their business they plan on quickly restore operations so that you are able to complete transactions directly with them. However, a delay in handling your orders and requests for funds might occur during such a business restoration period.

Significant business disruptions can vary in their scope, such as only to our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within one business day. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within five business days. In either situation, we plan to continue in business, and encourage you to transact directly with your respective brokerage firm if necessary, and to notify you through our website how to contact us.

For more information – If you have questions about our business continuity planning, please contact us at admin@successfulportfolios.com or (727) 744-3614.