GREENRIDGE
WEALTH PLANNING
PLAN GROW PRESERVE

Documents we need for the 401k

Fee Disclosure Document Titles
Depending on the plan's recordkeeper, we need the following document:

- **American Funds**
  - Participant Fee Disclosure document and the recordkeeping services agreement
- **ADP**
  - Compensation and Fee
  - Disclosure Document
- **Ascensus**
  - Disclosure of Services and Fees
- **Empower Retirement (Great West)**
  - Annual Plan Report
- **Fidelity**
  - Defined Contribution Invoice
  - with Investment Options
- **John Hancock**
  - Plan Review
  - (formerly Annual Contract Review)
- **Mass Mutual (Hartford)**
  - Plan Service Review
- **Mutual of Omaha**
  - Executive Summary of Fees
- **Nationwide**
  - Your Quarterly Plan Checkup
- **OneAmerica**
  - Annual Plan Review Book
- **Paychex**
  - Retirement Plan Fee Disclosure
  - A recent monthly invoice
- **Principal**
  - Fee Summary Illustration
- **Transamerica**
  - Fee Disclosure Document
- **Voya Financial (ING)**
  - Consolidated Investment Review

If you Can't Find the Current Provider's Report, we need the following data to run a benchmarking report for your plan:

✓ A list of the current plan investments, including expense ratios or ticker symbols and, if available, the amount invested in each fund

✓ Any additional investment charges — such as wrap/advisory fees or contract/daily asset charges — not included in the fund expense ratios

✓ Actual out-of-pocket costs paid directly by the plan sponsor or deducted from participant accounts over the past year for recordkeeping and administrative services

✓ A copy of the group variable annuity contract, assuming the plan is on an annuity platform

✓ Any expenses (or credits) — such as surrender or contingent deferred sales charges or market value adjustments — that could result from terminating the contract with the current service provider