

Total No. of Questions : 9]

SEAT No. :

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[5543]-2006

LL.B.

Second Year of Three Years Law Course

LAW

Investment and Securities Law

(2017 Pattern) (Semester - III)

*Time : 3 Hours]*

*[Max. Marks : 80*

**PART - A**

**[2 × 20 = 40]**

Answer any Two (Each question carries 20 marks)

- Q1)* Define prospectus and explain elaborately effects of misstatement in prospectus.
- Q2)* What is the role of Primary Market in relation to securities and who are the players of this Primary Market?
- Q3)* What is dematerialisation of shares? Explain in detail the advantages and disadvantages of dematerialisation of shares.
- Q4)* Write a detailed note on various kinds of securities and debt instruments.

**PART - B**

**[2 × 15 = 30]**

Answer any Two (Each question carries 15 marks)

- Q5)* Explain elaborately the relevant provisions of the Debt Recovery Tribunal.
- Q6)* What are the similarities and differences between foreign direct investment and foreign institutional investors.
- Q7)* What is insider trading? Discuss the relevant provisions on insider trading from SEBI guidelines and the Companies Act, 2013.
- Q8)* 'From direct lending to modern system of investment banking' explain the changing roles of banks.

***P.T.O.***

**PART - C**

**[2 × 5 = 10]**

**Q9)** Answer any Two Short Notes (Each note carries 05 marks)

- a) Non-payment of dividend
- b) Spot Delivery Contract, Badla Contract, Future Contracts
- c) Transnational Corporations and Multinational Corporations
- d) Effects of listing of securities

