

## Item 1. Introduction

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Aurelien Capital Partners LLC is an Illinois based investment adviser registered with the U.S. Securities and Exchange Commission as of November 2020. Investment Advisory Services and Brokerage fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

## Item 2. Relationships and Services: What investment services and advice can you provide me?

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We offer wealth management services, financial planning and family office services, to ultra-high net worth individuals & families, professional athletes, as well as exonerees. **Monitoring:** We monitor portfolios and securities in accounts on an ongoing basis. We also meet with you for an annual formal review as well as one semiannual check-in— either in person or virtually according to your preference. We are in constant communication with our clients whether that is via email, phone or video call. We also run a meeting for clients if there are any major life events (kids, new job, marriage, etc.). **Investment Authority:** We provide our services on a **discretionary and nondiscretionary** basis as requested. In a discretionary account, you allow us to buy and sell investments in your account, without asking you in advance. In a non-discretionary account, we give advice and you decide what investments to buy and sell. We execute investment recommendations and specific transactions, in accordance with your investment objectives, with or without your prior approval, based upon discretionary or nondiscretionary account management status. **Investments:** Investments may include, but are not limited to, diversified mutual funds and/or exchange-traded funds (“ETFs”), fixed-income securities, alternative investment, options, private placement investments, direct real estate investments, hedge funds, private equity funds, venture capital funds, and money market funds. **Account Minimums and Other Requirements:** Currently, we not require a minimum account size.

For more detailed information on our relationships and services, please see Item 4 – Advisory Services and Item 7 – Types of Clients of our Form ADV Part 2A.

### Conversation Starters

*Given my financial situation, should I choose an investment advisory service? Why or why not?*

*How will you choose investments to recommend to me?*

*What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

## Item 3. Fees, Costs, Conflicts and Standard of Conduct: What fees will I pay?

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**Description of Principal Fees and Costs:** Wealth management advisory (“WMA”) fees are paid quarterly, in advance of each calendar quarter, pursuant to the terms of the Wealth Management Advisory Agreement. WMA fees are based on the market value of assets under management at the end of the prior calendar quarter and are charged at an annual rate of up to 1.00% based on several factors, including: the complexity of the services to be provided, the level of assets to be managed, and the overall relationship with us. Financial Planning/Family Office Services either on an hourly basis or for a fixed fee. Hourly engagements range from \$250 to \$500. Fixed fees range from \$2,500 to \$250,000 quarterly. Financial planning fees are invoiced by us and payable quarterly in advance of services being provided. Fees may be negotiable based on the nature and complexity of the services to be provided and the overall relationship with us. An estimate for total hours and total costs will be provided to the client prior to engaging for these services. The more assets in your advisory account, the more you will pay in advisory fees, and we therefore have an incentive to build and/or encourage you to increase the assets in your account. You pay our fee quarterly even if you do not buy or sell. **Conflicts of Interest:** The more assets in your advisory account, the more you will pay in advisory fees, and we therefore have an incentive to build and/or

encourage you to increase the assets in your account. **Other Fees and Costs:** In addition to our advisory fee, you will also be responsible for custodian fees, account maintenance fees, fees related to mutual funds, third-party investment management fees, and other transactional fees, as applicable. You may also pay additional fees for additional services aforementioned **Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For more detailed information on our fees, please see Item 5 – Fees and Compensation of our Form ADV Part 2A.

#### **Conversation Starters**

*Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have? When we act as your investment adviser, we are required to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. We will recommend that you open your account with TD Ameritrade, where we have an institutional relationship, receive economic benefits and are able to service your account.**

#### **Conversation Starters**

*How might your conflicts of interest affect me, and how will you address them?*

For more detailed information on conflicts of interest, please see Item 12 – Brokerage Practices and Item 14 – Client Referrals and Other Compensation of our Form ADV Part 2A.

#### **How Do Your Financial Professionals Make Money?**

Our financial professionals are compensated based on an agreed upon annual salary. Additionally, those that have insurance licenses receive compensation based on insurance product sales. This means financial professionals with insurance licenses have an incentive to sell insurance products to advisory clients.

#### **Item 4. Disciplinary History: Do you or your financial professionals have legal or disciplinary history?**

No. Aurelien Capital Partners LLC nor any of its executive officers have a legal or disciplinary history. You can visit <https://www.investor.gov/CRS> for a free and simple search tool to research our firm and our financial professionals.

#### **Conversation Starters**

*As a financial professional, do you have any disciplinary history? For what type of conduct?*

#### **Item 5. Additional Information**

You can find additional information about our investment advisory services by visiting <https://www.sec.gov/check-your-investment-professional> and searching with our CRD #: 311163. You can request up to date information and a copy of our client relationship summary by contacting us at [oliver@aureliencapitalpartners.com](mailto:oliver@aureliencapitalpartners.com) or 248-860-8675.

#### **Conversation Starters**

*Who is my primary contact person? Is he or she a representative of an investment advisor? Who can I talk to if I have concerns about how this person is treating me?*